

# 11.02.01 Management and Evaluation of Centers and Institutes



Approved March 21, 2023  
Next Scheduled Review: March 21, 2028

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## Regulation Summary

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Members of The Texas A&M University System (system) must implement standards to ensure compliance with Policy *11.02, Creation of Centers and Institutes*, including providing ongoing monitoring and periodically reviewing approved centers and institutes, as set forth in this regulation.

Members must submit completed periodic reviews to the System Office of Academic Affairs and System Office of Research.

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## Definitions

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Terms used in this regulation have the meanings assigned by System Policy [11.02, Creation of Centers and Institutes](#).

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## Regulation

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1. Centers and institutes have specific missions to further interdisciplinary or interagency research or educational activities. The agenda item submitted to the system Board of Regents (board) under Policy *11.02* for the creation of each center and institute includes a detailed proposal that presents the rationale for its creation, its impact on the education and training of students, funding sources and financial support, governance and advisory structure, and mechanisms for periodic review. Members must ensure that each center or institute operates in accordance with its board-approved proposal.
2. The responsible administrative official (the academic officer or agency program administrator to whom the center or institute director reports) oversees the ongoing monitoring, periodic review and assessment, and ensures the financial soundness of the center or institute. Monitoring includes ensuring that the center or institute's operations are consistent with the board-approved proposal, including regular reports on the center or institute's operations (governance and oversight, fiscal operations and budget, funding sources, and research and/or educational activities). Also, the responsible administrative official must annually evaluate the performance of the center or institute director and ensure that the director and staff comply with all applicable laws, system policies and regulations, and member rules and procedures.

3. Periodic reviews of centers and institutes must occur at least every five years. The responsible administrative official initiates the review by requesting that the center or institute director provide a report on the center or institute's operations during the review period (governance and oversight, fiscal operations and budget, funding sources, and research and/or educational activities). The responsible administrative official may establish a review committee, including at least one reviewer external to the center or institute. Such a review committee analyzes the director's report, evaluates the performance of the center or institute, and submits a report to the responsible administrative official documenting the committee's evaluation and recommendations as to the continuation, revision, or dissolution of the center or institute. The responsible administrative official provides a written report to the chief executive officer (CEO) or designee summarizing the review and makes a recommendation(s) as to the continuation, revision, or dissolution of the center or institute. The CEO or designee provides a written decision on the responsible administrative official recommendation(s) and informs the responsible administrative official who then informs the center or institute director. If a center or institute is established between two members, the responsible officials' report goes to both CEOs or designees who provide a joint decision. Subsequent ongoing monitoring and periodic reviews also evaluate, to the extent necessary, the center or institute's progress in implementing changes approved by the CEO or designee.
4. Each member establishes guidelines for the ongoing monitoring and periodic review of centers and institutes in accordance with Sections 2 and 3 of this regulation.
5. Members must submit their periodic reviews to the System Office of Academic Affairs and System Office of Research using the designated portal.

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## **Related Statutes, Policies, or Requirements**

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[System Policy 11.02, Creation of Centers and Institutes](#)

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## **Appendix**

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[Periodic Assessment – Best Practices](#)

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## **Member Rule Requirements**

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A rule is not required to supplement this regulation.

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## **Contact Office**

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